

Assessing evolutionary relationships among microbes from whole-genome analysis

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The determination and analysis of complete genome sequences have recently enabled many major advances to be made in the area of microbial evolutionary biology. These include the determination of the first genome of a Crenarchaeota, the suggestion that horizontal gene transfer may be the rule rather than the exception, and revelations about how genomes evolve on short timescales.

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Abbreviation

rRNA ribosomal RNA

Introduction

In 1965, Zuckerkandl and Pauling [1] started a revolution in evolutionary biology by showing how the evolutionary history of species could be inferred from comparisons of gene sequences. Subsequently, Woese and colleagues [2,3] used molecular systematic analysis of ribosomal RNA (rRNA) molecules to provide the first useful evolutionary classification of microbes as well as a universal tree of life. Although the rRNA tree of life was and continues to be incredibly useful, it has also been the subject of much controversy. Perhaps the most controversy has involved whether any single gene tree can represent the evolution of species. The use of a single tree of life assumes that species are related through vertical descent; however, not all genes follow the rules of vertical descent. For example, some genes can be transferred between lineages, a phenomenon known as horizontal or lateral gene transfer. Horizontal transfer complicates evolutionary reconstruction because it means that some species are chimeric, with several histories for different parts of the genome.

Before the genomics era, although some cases of horizontal gene transfer were generally accepted (e.g., transfers between organelles and the nucleus), the extent of the phenomenon was not clear. With great hope and some trepidation, many microbial evolutionary biologists looked forward to the ‘clarification’ that complete genome sequences would bring to this and other issues concerning microbial evolution. Fortunately for those who work on microbial evolution, the picture that is emerging from analysis of complete genome sequences, while clarified, is far from simple. Each lineage appears to have its own complex combination of vertical descent, gene transfer, gene and genome duplication, gene invention, gene loss and degradation, recombination, convergence and selection. Here I summarize

recent work on using complete genome sequences to study evolution of microbes in light of these complications.

The available genome sequences are not representative of evolutionary diversity

Inferring evolutionary history from genomes is of course dependent on which genomes are analyzed. During the period of this review, the complete genomes of eight new microbial species have been published including representatives of a major new Archaeal lineage (Crenarchaeota [4]) and three new major bacterial lineages (Thermotogales [5•], the *Deinococcus-Thermus* phyla [6•] and the β -Proteobacteria [7,8]). Although genomes from representatives of many of the major microbial groups have now been sequenced (Figure 1), the diversity of species represented is still poor (Figure 2). Because species sampling can greatly affect results in evolutionary analysis, analysis based on these genomes should be considered with a note of caution.

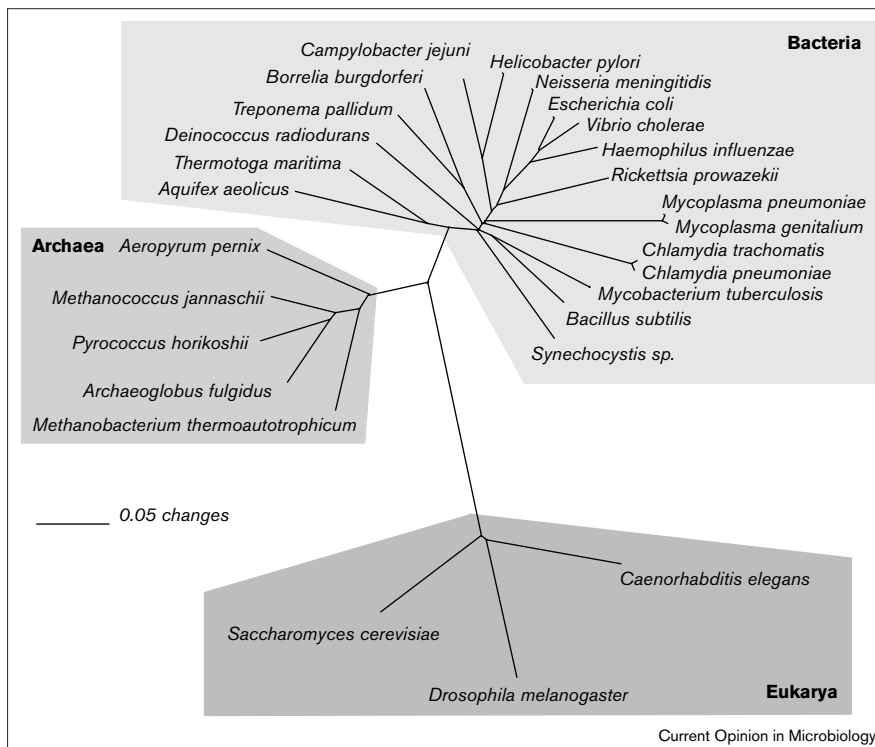
Methods for assessing evolutionary relationships among species from genome sequences

Comparing phylogenetic trees of universal genes

In theory, the best way to determine the evolutionary history of different genomes is to generate, and then compare and contrast, phylogenetic trees for every gene in every genome. A chief limitation of this approach is that phylogenetic tree reconstruction is not reliably automated as yet. To generate accurate trees, sequence alignments should be examined carefully, and regions of the alignments that are ambiguous or hypervariable should be excluded from the final phylogenetic analysis. Therefore, evolutionary studies of complete genome sequences using phylogenetic trees have focused on limited sets of genes. Ideally, the set of genes analyzed should be found in all of the species being studied. This removes the possibility that differences in the phylogenetic trees of different genes is due to different species sampling, which can profoundly effect phylogenetic reconstruction [9].

A few recent studies have reported phylogenetic analysis of sets of universal genes from the same complete genome sequences. These included a set of 33 genes found in yeast, four Archaea and 20 Bacteria [5•], and larger sets of genes from four Bacteria and two Archaea [10] or from four Archaea [11•]. The results of these studies are informative but not conclusive. Species from the same major microbial group in the rRNA tree (e.g. Archaea, Spirochetes) group together in the trees of most universal genes [5•]. This suggests that horizontal gene transfers, if they occurred, were either between members of the same group, or before the divergence of the major microbial lineages. Within the Euryarchaeota, however, trees of conserved genes are quite variable, which suggests that within-group transfers may be

Figure 1



A phylogenetic tree of the species for which complete genome sequences are available. The tree was constructed from rRNA sequences using a distance-based method (neighbour-joining with distances calculated using a maximum-likelihood measurement as implemented by the PAUP* program). rRNA sequences were downloaded from the Ribosome Database Project site (available at www.cme.msu.edu/RDP/html/index.html).

common [11*]. Relationships between major microbial groups are variable [5**,10], but these differences are not significant enough and too few species were analyzed to warrant any significant conclusions. As more genomes become available, it is likely that phylogenetic trees of universal genes will become more valuable and informative.

The trees generated from universal genes are not necessarily accurate. Factors such as convergence, mis-identification of orthologs, gene conversion and ambiguities in sequence alignments can lead to inaccurate trees. Therefore, a more careful analysis is needed to determine which genes are informative. For example, careful analysis of amino-acid usage can determine which proteins are prone to convergence and are therefore less useful for evolutionary analysis. In addition, trees of universal genes cannot reveal the evolution of whole genomes. Thus phylogenetic studies of non-universal genes and other methods that address the evolution of whole genomes are still of great use.

Patterns of best matches to different species

A common alternative to generating phylogenetic trees for all genes is to assess the degree of similarity of genes between genomes. Frequently, for analysis of a particular genome, the proportion of genes that show best matches to those of a different species is used as a measure of relatedness to the genome of that species. This 'best-match' approach led to one of the biggest stories in the study of microbial evolution in recent years — the suggestion of

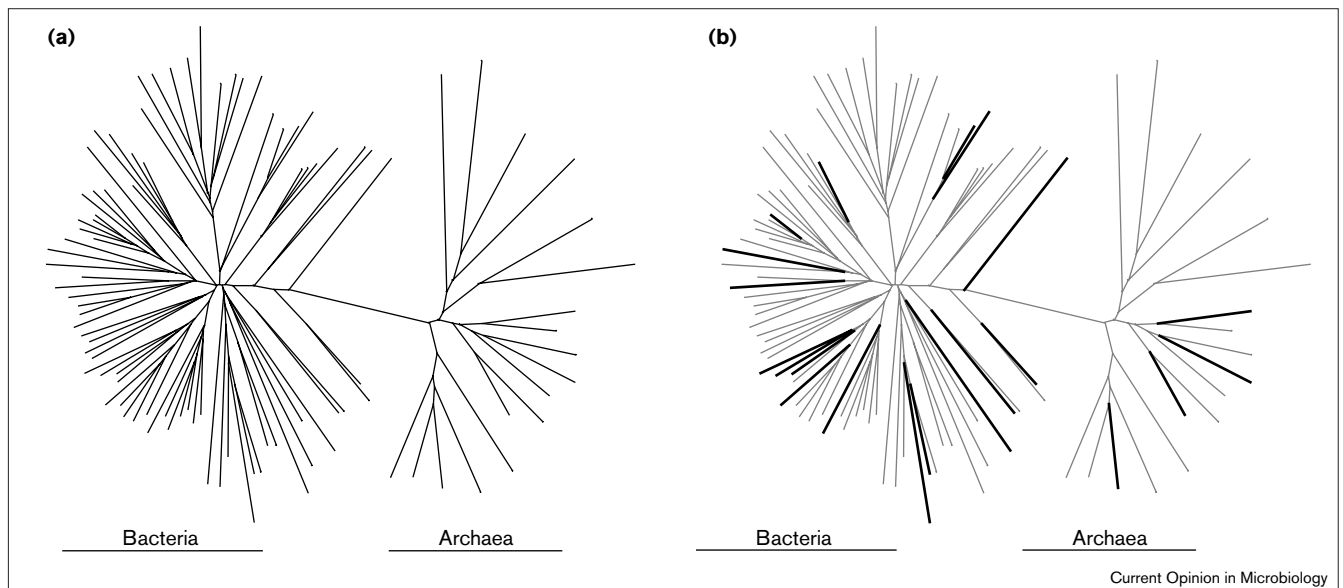
extensive horizontal gene transfers between thermophilic Bacteria and Archaea [5**,12*]. This suggestion was based on the finding that a large percentage of the genes in the bacteria *Aquifex aeolicus* and *Thermotoga maritima* (~20–25%) were most similar to genes from Archaea rather than from Bacteria. Best-match methods have also been used to suggest distinct origins for different genetic elements within species such as *Deinococcus radiodurans* and *Vibrio cholerae* [6*,13] and to identify likely organellar genes in nuclear genomes of eukaryotes [14].

The main advantage of best-match methods is their speed. In addition, they can readily be adapted to other types of analysis (such as the identification of recent gene duplications [6*]). Best match approaches are limited, however, because sequence similarity is not a perfect indicator of evolutionary relatedness [15]. For example, the high level of similarity between thermophilic Bacteria and Archaea could be due to an increased rate of evolution or gene loss in mesophilic Bacteria [16*]. Thus, sequence similarity should only be used as a screening method to identify genes of interest to pursue with other methods.

Clustering species by oligonucleotide relative abundance

All species have biases in the nucleotide composition of their genomes (e.g. in codon usage, GC nucleotide content, dinucleotide frequency) [17,18]. On a global level, these biases are relatively uniform within any particular genome. This led Karlin and co-workers [17,18] to use the similarity of relative abundances of di-nucleotides

Figure 2



The diversity of bacterial and Archaeal species for which complete genomes are available is still poor. **(a)** Phylogenetic tree, based on rRNA sequences, of representatives of many major Bacterial and Archaeal lineages. **(b)** Lineages for which complete genomes are

available are highlighted. rRNA tree for representative species was downloaded from the Ribosome Database Project (available at www.cme.msu.edu/RDP/html/index.html).

(referred to as genomic signature) as a measure of evolutionary relatedness. However, their own analysis suggests that genomic signature is too prone to convergence to be a useful evolutionary marker. For example, the genomic signatures of many mitochondria are most similar to those of *Clostridium* and *Sulfolobus* species [19]. The evidence that mitochondria are derived from microbes in the α -Proteobacterial lineage is simply overwhelming [20**], the similarities of genomic signature can be due only to convergence and not to mitochondria being derived from a *Sulfolobus*–*Clostridia* fusion.

Identifying regions of the genome with unusual compositions

Nucleotide composition is relatively constant within species, and this has been used to identify possible cases of horizontal gene transfer. It takes time for genes that have been transferred from other species to become adapted to the signature of their new host genome. Thus, it is possible to detect ‘foreign’ genes in a genome through the identification of unusual nucleotide composition [21,22]. An advantage of this approach is that it only requires the genome sequence of one species. A disadvantage is that, although recently transferred genes may have unusual compositions, unusual compositions can also be caused by other factors such as selection and mutation bias. In addition, transfers between species with similar signatures will not be detected by this method. Finally, this approach usually does not allow the determination of the particular history of any gene, just whether or not it may be foreign.

Using patterns of shared homologous proteins to build whole genome trees

The number of genes that are shared between genomes has been used to build ‘whole genome trees’. Snel *et al.* [23**] showed that a distance tree based on number of genes shared between genomes is remarkably similar to the tree based on rRNA sequences for those same species. Subsequently, similar results were obtained using parsimony analysis (an evolutionary reconstruction method that is based on identifying the tree with the smallest number of evolutionary changes) [24] and a hierarchical classification method [25] based on the presence and absence of genes. It is important to note that these gene-content trees represent averages of patterns produced by phylogeny, gene duplication and loss, and horizontal transfer and are not real phylogenetic trees. The fact that these ‘trees’ are very similar to phylogenetic trees of single genes (such as those for rRNA or RecA) suggests that there may be an average phylogenetic history to species even if individual genes do not show this history. Thus, if horizontal gene transfers are occurring they either represent a small portion of the genome or are more frequent among similar organisms. This then allows members of major lineages in the rRNA tree to share many derived features [11*,26].

The number of shared genes between species is greatly affected by genome size in addition to evolutionary relatedness [7]. The gene content of distantly related organisms can become more similar to each other through the loss of genes that they do not share in common or through the duplication of shared genes. Closely related

species can become dissimilar through the same forces (i.e. by loss of common genes or amplification of unshared genes). Therefore, analysis of shared gene content must be done with great care.

The big picture

Horizontal transfer versus vertical inheritance

Horizontal gene transfer can be very difficult to prove because each piece of evidence in support of it can be explained by other forces. For example, unusual nucleotide composition in a genome could be due to selection not transfer (see above). Thus, several lines of evidence should be used to identify horizontal transfer. For example, the suggestion of transfer between *T. maritima* and Archaea (based on best matches) has been supported by the finding that the Archaeal-like genes are clustered in the genome, show significantly biased nucleotide composition, and branch in evolutionary trees next to Archaeal genes [5^{••},27]. Although some cases of gene transfer will no doubt turn out to be artifacts, overall, the emerging picture is that horizontal gene transfer is not a rare exception, but may actually be quite common [28[•],29[•]]. However, as mentioned above, it appears that the extent of gene transfer may be constrained by phylogenetic relationships.

The emphasis now needs to turn to determining the rules that govern transfers. For example, on the basis of their phylogenetic analysis of universal genes in six genomes (see above), Jain *et al.* [10] conclude that genes that interact with many other genes (informational genes) are less prone to gene transfer than those with fewer interactions (operational genes). A similar conclusion has been reached from the analysis of the four completely sequenced Archaeal genomes [11[•]]. It is still not clear, however, whether the complexity of interactions is the most important factor here. For example, experimental studies show that 'informational' genes from one species can work well in another. This is even true for rRNA [30]. The high conservation in sequence of some 'informational' genes may allow them to be transferred between species even though they interact with many other genes [31[•]].

Relationships among species and deep phylogeny

As discussed above, studies of complete genome sequences indicate that the average nature of most genomes can be predicted from the rRNA tree of life. For example, if an organism is classified as a Spirochete in the rRNA tree, its proteome is similar to that of other Spirochetes. As more genomes are completely sequenced, we will be able to determine whether this general pattern applies to all microbial phylogenetic groups or just the major taxa. The patterns of relationships among the different microbial groups is still ambiguous, however, partly because of the occurrence of gene transfers but also simply because of the difficulty of inferring events that occurred a long time ago. This is most apparent in attempts to infer the root of the tree of life [32,33^{••}]. Based, in part, on

analysis of complete genome sequences, Philippe and co-workers [32,33^{••}] suggest that the previous rooting of the tree of life in which Archaea and Eukaryotes are considered sister groups is due to an artifact of phylogenetic reconstruction called 'long branch attraction'. In this phenomenon, unrelated lineages with long evolutionary branches artificially group together in trees. They propose that Bacteria and Archaea may share a common ancestor [32,33^{••}]. This hypothesis seems reasonable, but it does not sufficiently address the problem of horizontal gene transfer. If gene exchanges have really occurred across the main domains of life as extensively as it seems, then there is no real way to root the tree of life. Until we know more about horizontal gene transfer (such as which genes are most prone to it), attempts to infer a root of the tree of life should be viewed with some skepticism.

Genome reduction and degradation

Complete genome sequences are helping reveal a great deal about genome reduction and degradation. For example, analysis of the *Rickettsia prowazekii* genome is providing great insight into gene loss, gene transfer and genome degradation in the evolution of mitochondria and endosymbionts [14,34,35,36^{••},37]. Interestingly, one analysis suggests that *R. prowazekii* may not be a perfect model for studying mitochondrial evolution because it has apparently lost different genes than have mitochondria [37]. Many of the species for which genomes are available have undergone large genome reductions relatively recently (at least in terms of the history of life). Thus, careful comparison of these genomes with those of other species will help to provide insight into the general processes of gene loss and degradation.

The value of comparing genomes from closely related species

The evolutionary distance separating the species for which genome sequences are available determines the types of questions that can be addressed using these data. The first genomes to be published were from distantly related species; therefore, inferences could only be made about events that occurred either long ago or very rarely. However, sets of genomes from closely related species or strains are now becoming available [7,38[•],39[•],40,41]. The comparison of such genome sequences will yield information on recent or frequent events, such as genome rearrangement and mutation processes. For example, comparison of the genomes of four Chlamydial species has revealed the occurrence of frequent tandem gene duplication and gene loss, as well as large chromosomal inversions [39[•]]. The identification of differences between strains of the same species will also be of great use in studying the population genetics of those species.

Conclusions

The analysis of complete genome sequences is showing that a genome can be considered almost a living breathing entity. Genomes grow by duplication, shrink by deletion

and degradation, consume through horizontal exchange, reproduce and die. Genomes are even 'breathing' while the strains are being grown to prepare DNA for genome sequencing [42*]. In order to represent the complicated patterns of genome evolution, we now need new paradigms and models. In addition, we need to move on from simply detecting events, to understanding the rules that govern their occurrence. For future studies, it is important to recognize that a complete genome sequence is much more valuable than an incomplete one. For example, an understanding of genome evolution is dependent, in part, on knowing the relative position of genes and not just their presence and absence [39*,43**]. It is also important to realize that evolutionary analysis is not just for inferring the history of species. It is also useful for identifying gene duplication events [15], pathogenicity genes [21], organellar genes [14]; for predicting gene functions [44**–46**,47]; and for identifying vaccine candidates [7]. Thus, even if the picture of the relationships among species remains cloudy, evolutionary studies of genomes will continue to be of great value.

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